

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 19226/2331 (R-5839)	
		First Inventor Deborah D.L. Chung	
		Title	THERMAL PASTE FOR IMPROVING THERMAL CONTACTS
		Express Mail Label No. EV138586129US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 39] <i>(preferred arrangement as forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications <i>(if applicable)</i> - Statement Regarding Fed sponsored R & D <i>(if applicable)</i> - Reference to sequence listing, a table, or a computer program listing appendix <i>(if applicable)</i> - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]</p> <p>5. Oath or Declaration [Total Pages <input type="checkbox"/>] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. /</p> <p>Prior application information: Examiner _____ Group / Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>			
19. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Candice J. Clement		
Address	Nixon Peabody LLP Clinton Square, P.O. Box 31051		
City	Rochester	State	NY
Country	USA	Telephone	(585) 263-1069
Name (Print/Type)	Candice J. Clement		Registration No. (Attorney/Agent) 39,946
Signature	<i>Candice J. Clement</i>		Date March 23, 2004

16834 U.S. PTO
10807487

032304

FEE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1,281)

Complete if Known

Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	Deborah D. L. Chung	
Examiner Name	To Be Assigned	
Art Unit	To Be Assigned	
Attorney Docket No.	19226/2331 (R-5839)	

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Other None
Order

Deposit Account:

Deposit Account Number 14-1138

Deposit Account Name

Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

I. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385		
1002	340	2002	170	Design filing fee			
1003	530	2003	265	Plant filing fee			
1004	770	2004	385	Reissue filing fee			
1005	160	2005	80	Provisional filing fee			

SUBTOTAL (1) (\$ 385)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Fee from below	Fee Paid
Total Claims	110	-20** =	90 X 9 = 810
Independent Claims	5	-3** =	2 X 43 = 86
Multiple Dependent		X	0

Large Entity	Fee Code	Small Entity	Fee Code	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2203	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 896)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65			Surcharge - late filing fee or oath
1052	50	2052	25			Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130			Non-English specification
1812	2,520	1812	2,520			For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*			Requesting publication of SIR prior to Examiner action
1803	1,840*	1805	1,840*			Requesting publication of SIR after Examiner action
1251	110	2251	55			Extension for reply within first month
1252	420	2252	210			Extension for reply within second month
1253	950	2253	475			Extension for reply within third month
1254	1,480	2254	740			Extension for reply within fourth month
1255	2,010	2255	1,005			Extension for reply within fifth month
1401	330	2401	165			Notice of Appeal
1402	330	2402	165			Filing a brief in support of an appeal
1403	290	2403	145			Request for oral hearing
1451	1,510	1451	1,510			Petition to institute a public use proceeding
1452	110	2452	55			Petition to revive - unavoidable
1453	1,330	2453	665			Petition to revive - unintentional
1501	1,330	2501	665			Utility issue fee (or reissue)
1502	480	2502	240			Design issue fee
1503	640	2503	320			Plant issue fee
1460	130	1460	130			Petitions to the Commissioner
1807	50	1807	50			Processing fee under 37 CFR 1.17(q)
1806	180	1806	180			Submission of Information Disclosure Stmt
8021	40	8021	40			Recording each patent assignment per property (times number of properties)
1809	770	2809	385			Filing a submission after final rejection (37 CFR 1.129(c))
1810	770	2810	385			For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385			Request for Continued Examination (RCE)
1802	900	1802	900			Request for expedited examination of a design application
Other fee (specify) _____						

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____
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transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____

Date _____

Signature _____

Typed or printed name _____

SUBMITTED BY

Name (Print/Type)	Candice J. Clement	Registration No. (Attorney/Agent)	39,946	Telephone	(585) 263-1069
Signature	<i>Candice J. Clement</i>			Date	March 23, 2004

SEND TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

DOCKET NO.: **19226/2331 (R-5839)**

APPLICANTS: **Deborah D.L. Chung**

TITLE: **THERMAL PASTE FOR IMPROVING THERMAL
CONTACTS**

**Certificate is attached to the Utility Patent Application Transmittal Letter
(1 page) (in duplicate) and Fee Transmittal (1 page) (in duplicate) of the
above-named application.**

EXPRESS MAIL NUMBER: **EV138586129US**

DATE OF DEPOSIT: **March 23, 2004**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Shawn A. Lockett

**(Typed or printed name of person mailing
paper or fee)**



(Signature of person mailing paper or fee)